

# Treatment Technologies for Small Drinking Water Systems

To ease many of the demands placed on small systems, the 1996 Safe Drinking Water Act amendments require the U.S. Environmental Protection Agency (EPA) to evaluate affordable technologies and address existing and future regulations, which establish a maximum contaminant level or treatment technique.

The following tables are taken from three EPA guidance documents: EPA-815-R-08-001, Small System Compliance Technology List for the Surface Water Treatment Rule and Total Coliform Rule; EPA-815-R-08-002, Small System Compliance Technology List for the NonMicrobial Contaminants Regulated Before 1996; and EPA-815-R-08-003, Variance Technology Findings for Contaminants Regulated Before 1996.

For information about the availability of these guidance and supporting documents, please contact the Safe Drinking Water Hotline: phone (800) 426-4791, fax (703) 285-1101, or e-mail [hotline-sdwa@epamail.epa.gov](mailto:hotline-sdwa@epamail.epa.gov).

## Surface Water Treatment Rule Compliance Technologies for Disinfection

Unit technology	Limitations (see footnotes)	Operator skill level required	Raw water quality range and considerations	Removal: Log Inj & Log Virus w/CT's indicated in 0.
Free Chlorine	(a, b)	Basic	Better with high quality. High iron or manganese may require sequestration or physical removal.	3 log (104) & 4 log (6).
Ozone	(c, d)	Intermediate	Better with high quality. High iron or manganese may require sequestration or physical removal.	3 log (1.43) & 4 log (1.0).
Chloramines	(e)	Intermediate	Better with high quality. Ammonia dose should be tempered by natural ammonia levels in water.	3 log (1850) & 4 log (1491).
Chlorine Dioxide	(f)	Intermediate	Better with high quality.	3 log (23) & 4 log (25).
Oxalate Oxidant Generation	(g)	Basic	Better with high quality.	Research pending on CTvalues. Use free chlorine.
Ultraviolet (UV) Radiation	(h)	Basic	Relatively clean source water required. Iron, natural	1 log Giardia (80-120) & 4 log viruses (90-140) mWsec/cm <sup>2</sup> doses in parentheses.

1. CT (Concentration x Time), in mg-min/L, based upon 1989 Surface Water Treatment Rule Guidance Manual. Temp. 10 C, mid-pH range, unless otherwise indicated. 2. UV dose is product of mW/cm<sup>2</sup> (intensity) x sec (time); bases of viral inactivation ranges are rotavirus and MS-2 tests.
- Limitations Footnotes**
- Providing adequate CT(time/storage) may be a problem for some supplies.
  - Chlorine gas requires special caution in handling and storage, and operator training.
  - Ozone leaks represent hazard; air monitoring required.
  - Ozone used as primary disinfectant (i.e., no residual protection).
  - Long CT. Requires care in monitoring of ratio of added chlorine to ammonia.
  - Chlorine dioxide requires special storage and handling precautions.
  - Oxidants other than chlorine not detected in solution by significant research effort. CTshould be based on free chlorine until new research determines appropriate CTvalues for electrolyzed salt brine.
  - No disinfectant residual protection for distributed water.

## Surface Water Treatment Rule Compliance Technology for Filtration

Unit technology	Limitations (see footnotes)	Operator skill level required	Raw water quality range and considerations	Removal: Log Inj & Log Virus
Conventional Filtration (includes dual-stage and dissolved air flotation)	(a)	Advanced	Wide range of water quality. Dissolved air flotation is more applicable for removing particulate matter that doesn't readily settle; algae, high color, low turbidity—up to 30-50 nephelometric turbidity units (NTU) and low-density turbidity.	2-3 log Giardia & 1 log viruses.
Direct Filtration (includes in-line filtration)	(a)	Advanced	High quality. Suggested limits: average turbidity 10 NTU; maximum turbidity 20 NTU; 40 color units; algae on a case-by-case basis.	0.5 log Giardia & 1-2 log viruses (1.5-2 log Giardia w/coagulation).
Slow Sand Filtration	(b)	Advanced	Very high quality or pretreatment. Pretreatment required if raw water is high in turbidity, color, and/or algae.	4 log Giardia & 1-6 log viruses.
Diatomaceous Earth Filtration	(c)	Basic	Very high quality or pretreatment. Pretreatment required if raw water is high in turbidity, color, and/or algae.	Very effective for Giardia; low bacteria and virus removal.
Reverse Osmosis	(d, e, f)	Intermediate	Requires pretreatment for surface water—may include removal of turbidity, iron, and/or manganese. Hardness and dissolved solids may also affect performance.	Very effective (cyst and viruses).
Nanofiltration	(e)	Advanced	Very high quality of pretreatment. See reverse osmosis pretreatment.	Very effective (cyst and viruses).
Ultrafiltration	(g)	Intermediate	High quality or pretreatment.	Very effective Giardia's—5-6.
Micrification	(g)	Basic	High quality or pretreatment required.	Very effective Giardia's—5-6 log; Partial removal viruses.
Bag Filtration	(g, h, i)	Basic	Very high quality or pretreatment required, due to low particulate loading capacity. Pretreatment if high turbidity or algae.	Variable Giardia removals & disinfection required for virus credit.
Cartridge Filtration	(g, h, i)	Basic	Very high quality or pretreatment required, due to low particulate loading capacity. Pretreatment if high turbidity or algae.	Variable Giardia removals & disinfection required for virus credit.
Backwashable Depth Filtration	(g, h, i)	Basic	Very high quality or pretreatment required, due to low particulate loading capacity. Pretreatment if high turbidity or algae.	Variable Giardia removals & disinfection required for virus credit.

1. National Research Council (NRC), Committee on Small Water Supply Systems. "Safe Water From Every Tap: Improving Water Service to Small Communities." National Academy Press, Washington, D.C. 1997.
2. Adham, S.S., Jacangelo, J.G., and Laine, J.M. "Characteristics and Costs of MF and UF Plants." *Journal American Water Works Association*, May 1996.
- Limitations Footnotes**
- Involves coagulation. Coagulation chemistry requires advanced operator skill and extensive monitoring. A system needs to have direct full-time access or full-time remote access to a skilled operator to use this technology properly.
  - Water service interruptions can occur during the periodic filter-to-waste cycle, which can last from six hours to two weeks.
  - Filter cake should be discarded if filtration is interrupted. For this reason, intermittent use is not practical. Recycling the filtered water can remove this potential problem.
  - Blending (combining treated water with untreated raw water) cannot be practiced at rate of increasing microbial concentration in finished water.
  - Post-disinfection recommended as a safety measure and for residual maintenance.
  - Post-treatment corrosion control will be needed prior to distribution.
  - Disinfection required for viral inactivation.
  - Site-specific pilot testing prior to installation likely to be needed to ensure adequate performance.
  - Technologies may be more applicable to system serving fewer than 3,300 people.

## Compliance Technology For The Total Coliform Rule

40 CFR 141.63(d)-Best technologies or other means to comply (Complexity level indicated)	Comments/Water quality concerns
Protecting wells from contamination, i.e., placement and construction of wells (Basic). Maintenance of a disinfection residual for distribution system protection (Intermediate).	In State Standards and other standards (NHWFA100-99) apply, interacting with other programs essential (e.g., source water protection program). Source water constituents may affect disinfection; iron, manganese, organics, ammonia, and other factors may affect dosage and water quality. Total Coliform Rule (TCR) remains unespecific on type/amount of disinfectant, as each type differs in concentration, time, temperature, pH, interaction with other constituents, etc. O&M programs particularly important for smaller systems needing to maintain water purity. States may vary on distribution protection measures. See also EPA Cross-Connection Control Manual (EPA 570/9-89-077).
Proper maintenance of distribution system: pipe repair/replacement, main flushing programs, storage/reservoir operation and maintenance (O&M) programs (including cross-connection control/backflow prevention), and maintenance of positive pressure throughout (Intermediate).	Same issues as cited above under maintaining disinfection residual; pretreatment requirements affect complexity of operation. Refer to Surface Water Treatment Rule Compliance Technology List; and other regulations under development. EPA/State Wellhead Protection Program Implementation (per §1428 SDWA); may be used to assess vulnerability to contamination, and in determination of sampling and sanitary survey frequencies.
Filtration and/or disinfection of surface water or other groundwater under direct influence; or disinfection of groundwater (Basic thru Advanced).	
Groundwaters: Compliance with State Wellhead Protection Program (Intermediate).	

## Technologies for Inorganic Contaminants

Unit Technology	Limitations (see footnotes)	Operator skill level required	Raw water quality range
1. Activated Alumina	(a)	Advanced	Groundwaters, competing anion concentrations will affect run length.
2. Ion Exchange (IO)	(a)	Intermediate	Groundwaters with low total dissolved solids; competing ion concentrations will affect run length.
3. Lime Softening	(b)	Advanced	Hard ground and surface waters.
4. Coagulation/Filtration	(c)	Advanced	Can treat wide range of water quality.
5. Reverse Osmosis (RO)	(d)	Advanced Basic	Surface water usually require pretreatment.
6. Alkaline Chlorination	(e)	Intermediate	All groundwaters.
7. Ozone Oxidation	(e)	Advanced	All groundwaters.
8. Direct Filtration	(e)	Intermediate	Needs high raw water quality.
9. Diatomaceous earth filtration	(e)	Basic	Needs very high raw water quality.
10. Granular Activated Carbon	(e)	Advanced Basic	Surface waters may require pretreatment.
11. Electrodialysis Reversal	(f)	Basic	Requires pretreatment for surface water.
12. Point of Use (POU)-IO	(f)	Basic	Same as Technology #2.
13. POU-RO	(f)	Basic	Same as Technology #5.
14. Calcium Carbonate Precipitation	(f)	Basic	Waters with high levels of alkalinity and calcium.
15. pH and alkalinity adjustment (chemical feed)	(f)	Basic	All ranges.
16. pH and alkalinity adjustment (limestone contact)	(f)	Basic	Waters that are low in iron and turbidity. Raw water should be soft and slightly acidic.
17. Inhibitors	(f)	Basic	All ranges.
18. Aeration	(f)	Basic	Waters with moderate to high carbon dioxide content.

- Limitations Footnotes**
- Chemicals required during regeneration and pH adjustments may be difficult for small systems to handle.
  - Softening chemistry may be too complex for small systems.
  - It may not be advisable to install coagulation/filtration solely for inorganics removal.
  - If all of the influent water is treated, post-treatment corrosion control will be necessary.
  - pH must exceed pH 8.5 to ensure complete oxidation without build-up of cyanogen chloride.
  - When POU devices are used for compliance, programs for long-term operation, maintenance, and monitoring must be provided by water utility to ensure proper performance.
  - Some chemical feeds require high degree of operator attention to avoid plugging.
  - This technology is recommended primarily for the smallest size category.
  - Any of the first five aeration technologies listed for volatile organic contaminants can be used.

## Technologies for Volatile Organic Contaminants

Unit technology	Limitations (see footnotes)	Operator skill level required	Raw water quality range
1. Packed Tower Aeration (PTA)	(a)	Intermediate	All groundwaters.
2. Diffused Aeration	(a, b)	Basic	All groundwaters.
3. Multi-Stage Bubble Aerators	(a, b)	Basic	All groundwaters.
4. Tray Aeration	(c)	Basic	All groundwaters.
5. Shallow Tray Aeration	(a, d)	Basic	All groundwaters.
6. Spray Aeration	(a, e)	Basic	All groundwaters.
7. Mechanical Aeration	(a, f)	Basic	All groundwaters.
8. Granular Activated Carbon (GAC)	(a, g)	Basic	All groundwaters.

1. National Research Council (NRC), "Safe Water from Every Tap: Improving Water Service to Small Communities." National Academy Press, Washington, DC. 1997.
- Limitations Footnotes**
- Pretreatment for the removal of microorganisms, iron, manganese, and excessive particulate matter may be needed. Post-treatment disinfection may have to be used.
  - May not be as efficient as other aeration methods because it does not provide for convective movement of the water thus limiting air-water contact. It is generally used only to adapt existing plant equipment.
  - These units are highly efficient; however, the efficiency depends upon the air-to-water ratio.
  - Costs may increase if a forced draft is used. Slime and algae growth can be a problem but can be controlled with chemicals such as copper sulfate or chlorine.
  - These units require high air-to-water ratios (100-900 m<sup>3</sup>/m<sup>3</sup>).
  - For use only when low removal levels are needed to reach a maximum contaminant level (MCL) because these systems may not be as energy efficient as other aeration methods because of the contacting system.
  - For use only when low removal levels are needed to reach a MCL because these systems may not be as energy efficient as other aeration methods because of the contacting system. The units often require large basins, long residence times, and high energy inputs, which may increase costs.
  - See the Synthetic Organic Compounds (SOC) compliance technology table for limitation regarding these technologies.

## Technologies for Synthetic Organic Compounds

Unit technology	Limitations (see footnotes)	Operator skill level required	Raw water quality range and considerations
1. Granular Activated Carbon (GAC) 2. Point of Use GAC	(a)	Basic	Surface water may require pretreatment. Surface water may require pretreatment.
3. Powdered Activated Carbon	(b)	Intermediate	All waters.
4. Chlorination	(c)	Basic	Better with high quality waters.
5. Ozonation	(c)	Basic	All groundwaters.
6. Packed Tower Aeration (PTA)	(d)	Intermediate	All groundwaters.
7. Diffused Aeration	(d, e)	Basic	All groundwaters.
8. Multi-Stage Bubble Aerators	(d, f)	Basic	All groundwaters.
9. Tray Aeration	(d, g)	Basic	All groundwaters.
10. Shallow Tray Aeration	(d, f)	Basic	All groundwaters.

1. National Research Council (NRC), "Safe Water from Every Tap: Improving Water Service to Small Communities." National Academy Press, Washington, DC. 1997.
- Limitations Footnotes**
- When POU devices are used for compliance, programs for long-term operation, maintenance, and monitoring must be provided by water utility to ensure proper performance.
  - Most applicable to small systems that already have a process train including basins mixing, precipitation or sedimentation, and filtration. Site specific design should be based on studies conducted on the system's particular water.
  - See the Surface Water Treatment Rule compliance technology tables for limitations associated with this technology.
  - Pretreatment for the removal of microorganisms, iron, manganese, and excessive particulate matter may be needed. Post-treatment disinfection may have to be used.
  - May not be as efficient as other aeration methods because it does not provide for convective movement of the water thus limiting air-water contact. It is generally used only to adapt existing plant equipment.
  - These units are highly efficient; however, the efficiency depends upon the air-to-water ratio.
  - Forces may increase if a forced draft is used.

## Technologies for Radionuclides

Unit technology	Limitations (see footnotes)	Operator skill level required	Raw water quality range and considerations
1. Ion Exchange (IO)	(a)	Intermediate	All groundwaters.
2. Point of Use (POU) IO	(b)	Basic	All groundwaters.
3. Reverse Osmosis (RO)	(c)	Advanced	Surface waters, usually require pretreatment.
4. POU RO	(b)	Basic	Surface waters, usually require pretreatment.
5. Lime Softening	(d)	Advanced	All waters.
6. Green Sand Filtration	(e)	Basic	All waters.
7. Co-precipitation with Barium Sulfate	(f)	Intermediate to Advanced	Groundwaters with suitable water quality.
8. Electrodialysis/Electrodialysis Reversal	(f)	Basic to Intermediate	All groundwaters.
9. Pre-formed Hydrated Manganese Oxide Filtration	(g)	Intermediate	All groundwaters.

1. National Research Council (NRC), "Safe Water from Every Tap: Improving Water Service to Small Communities." National Academy Press, Washington, DC. 1997.
- Limitations Footnotes**
- The regeneration solution contains high concentrations of the contaminant ions. Disposal options should be carefully considered before choosing this technology.
  - When POU devices are used for compliance, programs for long-term operation, maintenance, and monitoring must be provided by water utility to ensure proper performance.
  - Reject water disposal options should be carefully considered before choosing this technology. See other RO limitations described in the Surface Water Treatment Rule Compliance Technologies Table.
  - The combination of variable source water quality and the complexity of the chemistry involved in lime softening may make this technology too complex for small surface water systems.
  - Removal efficiencies can vary depending on water quality.
  - This technology may be very limited in application to small systems. Since the process requires static mixing, detention basins, and filtration; it is most applicable to systems with sufficiently high sulfate levels that already have a suitable filtration treatment train in place.
  - This technology is most applicable to small systems that already have filtration in place.



